

## Lecture 2 — The structures of algebras and groups (II)

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July 25, 2020

# Definitions

- A **topological group** is a group  $G$  which is a topological space with

$$G \times G \longrightarrow G \quad (x, y) \longmapsto x^{-1}y$$

continuous.

- For a topological group  $G$ , a **complex representation** is a continuous group homomorphism

$$\rho : G \rightarrow \mathrm{GL}(V), \quad V \text{ is some finite dimensional complex vector space.}$$

We will say  $V$  is a  $G$ -representation or  $G$ -module. And write  $g \cdot v$  by  $(\rho(g))(v)$

# Haar measure

## Theorem (Haar measure)

*For a locally compact group, there is a Borel measure  $\mu$  over  $G$  such that*

$$\forall g \in G, \text{ Borel set } E, \quad \mu(E) = \mu(gE).$$

*This measure is unique up to a nonzero scalar.*

- For Lie groups (defined later), this measure is computable by differential forms.
- For discrete groups, this is just the measure of counting.
- For  $\mathbb{R}$ , this is just the usual measure  $dx$ .
- For  $\mathbb{R}^\times$ , this is  $\frac{dx}{x}$ .

# Compact group is reductive

## Theorem (Weyl's unitary trick)

If  $G$  is compact, then any continuous group homomorphism  $G \rightarrow \mathrm{GL}_n$  is conjugated to  $G \rightarrow \mathrm{U}_n$ .

- The proof is easy by construction the invariant unitary form  $\langle v, w \rangle = \frac{1}{\mu(G)} \int_G \langle gv, gw \rangle d\mu(g)$ .
- So for any representation  $V$ , and any submodule  $W \subseteq V$ , since we have unitary form,  $V = W \oplus W^\perp$ .
- So in our principle,

$$\boxed{G \text{ is compact}} \Rightarrow \boxed{G \text{ is reductive}}.$$

# Definitions

- A **Lie group** is a group  $G$  with smooth manifold structure with

$$G \times G \longrightarrow G \quad (x, y) \longmapsto x^{-1}y$$

smooth.

- A **Lie algebra** is a finite dimensional vector space  $\mathfrak{g}$  equipped with a bilinear map called **Lie bracket**  $\mathfrak{g} \times \mathfrak{g} \xrightarrow{[\cdot, \cdot]} \mathfrak{g}$  such that
  - $[x, x] = 0$ ,
  - $[x, y] + [y, x] = 0$ , and
  - $[x, [y, z]] + [y, [z, x]] + [z, [x, y]] = 0$ .

# Lie algebras of Lie groups

- The **Lie algebra**  $\text{Lie}(G)$  of a Lie group  $G$  is

$$\text{Lie}(G) = \text{Left invariant vector fields over } G.$$

It equipped with Lie bracket makes it an abstract Lie algebra

$$[\cdot, \cdot] : \text{Lie}(G) \times \text{Lie}(G) \longrightarrow \text{Lie}(G) \quad (X, Y) \mapsto XY - YX.$$

- Note that the Lie algebra is completely determined by the tangent vector at  $1 \in G$ , so

$$\text{Lie}(G) = \text{Tan}_1 G.$$

But no good interpolation of Lie bracket over  $\text{Tan}_1 G$ .

# Exponential Map

- There is a lot of way to understand tangent space, but for Lie group, the best to connect it with Lie group is via **exponential map**.
- There is a differential map  $\exp : \text{Lie}(G) \rightarrow G$  for each Lie group  $G$  with the following commutative diagram

$$\begin{array}{ccc}
 \text{Lie}(H) & \xrightarrow{\text{Lie}(\varphi)} & \text{Lie}(G) \\
 \exp \downarrow & & \downarrow \exp \\
 H & \xrightarrow{\varphi} & G \\
 \text{group homomorphism} & & 
 \end{array}
 \qquad
 \begin{array}{ccc}
 \text{Tan}_1 & \equiv & \text{Lie}(\mathbb{R}) \\
 \parallel & & \downarrow \exp \\
 \mathbb{R} & \xrightarrow{\text{id}} & \mathbb{R}
 \end{array}$$

such that for each  $X \in \text{Tan}_1 G$ ,

$$\left. \frac{d}{dt} \exp(tX) \right|_{t=0} = X \in \text{Tan}_1 G.$$

## Examples

- For  $\mathbb{R} = (\mathbb{R}, +)$ ,

$$\text{Lie}(\mathbb{R}) = \text{Tan}_0 = \mathbb{R}, \quad \text{exp} = \text{id}.$$

- For  $\mathbb{R}^\times = (\mathbb{R} \setminus 0, \times)$ ,

$$\text{Lie}(\mathbb{R}) = \text{Tan}_0 = \mathbb{R}, \quad \text{exp} = [x \mapsto e^x].$$

- For  $\mathbb{S} = \{z \in \mathbb{C} : |z| = 1\}$ ,

$$\text{Lie}(\mathbb{R}) = \text{Tan}_1 = i\mathbb{R}, \quad \text{exp} = [ix \mapsto e^{ix}].$$

- For  $\mathbb{C}^\times = (\mathbb{C} \setminus 0, \times)$ ,

$$\text{Lie}(\mathbb{C}) = \text{Tan}_1 = \mathbb{C}, \quad \text{exp} = [z \mapsto e^z].$$



# Examples

- For  $GL_n(\mathbb{R})$ ,

$$\text{Lie}(GL_n) = \mathfrak{gl}_n := M_n(\mathbb{R}), \quad \exp = [A \mapsto e^A].$$

Lie bracket is given by  $[A, B] = AB - BA$ .

- For  $SL_n(\mathbb{R})$ ,

$$\text{Lie}(SL_n) = \mathfrak{sl}_n := \{A \in \mathfrak{gl}_n : \text{tr } A = 0\}, \quad \exp = [A \mapsto e^A].$$

Lie bracket is given by  $[A, B] = AB - BA$ .

# From Lie groups to Lie algebras

- It is easy to see that the connected component of 1 is a closed lie subgroup. The universal covering of a connected lie group equipped with a lie group structure.

## Theorem

*Let  $G, H$  be two connected Lie groups, then*

$$\text{Hom}_{\text{Lie group}}(G, H) \rightarrow \text{Hom}_{\text{Lie algebra}}(\text{Lie}(G), \text{Lie}(H))$$

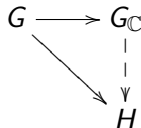
*is injective. When  $G$  is simply connected, then it is a bijection in which case the inverse is induced by exponential map.*

Lie groups  $\rightsquigarrow$  connected Lie groups  
 $\rightsquigarrow$  simply connected Lie groups  
 $\rightsquigarrow$  Lie algebras.

# Complexification

- For a real Lie algebra  $\mathfrak{g}$  define its **complexification**  $\mathfrak{g}_{\mathbb{C}}$  to be  $\mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C}$ .
- For a Lie group  $G$ , defines its **complexification** to be lie group  $G_{\mathbb{C}} \supseteq G$  such that

For any Lie group homomorphism  $G \xrightarrow{\varphi} H$  with  $H$  some analytic complex Lie group  $H$ , it can be uniquely extended to a analytic group homomorphism  $G_{\mathbb{C}} \rightarrow H$ .



## Theorem

*For compact Lie group  $G$ , the complexification of it exists, and  $\text{Lie}(G_{\mathbb{C}}) = \text{Lie}(G)_{\mathbb{C}}$ .*

# From compact Lie groups to complex Lie algebras

- We have the similar theorem.

## Theorem

*Let  $G, H$  be two connected complex analytic Lie groups, then*

$$\text{Hom}_{\text{analytic Lie group}}(G, H) \rightarrow \text{Hom}_{\text{Lie algebra}}(\text{Lie}(G), \text{Lie}(H))$$

*is injective. When  $G$  is simply connected, then it is a bijection in which case the inverse is induced by exponential map.*

compact Lie groups  $\rightsquigarrow$  complex analytic Lie groups  
 $\rightsquigarrow$  complex Lie algebras.

# Representations of Lie groups and Lie algebras

- For a Lie group  $G$ , a **complex representation** is a Lie group homomorphism

$$G \rightarrow \mathrm{GL}(V), \quad V \text{ is some finite dimensional complex vector space.}$$

We will say  $V$  is a  $G$ -representation or  $G$ -module.

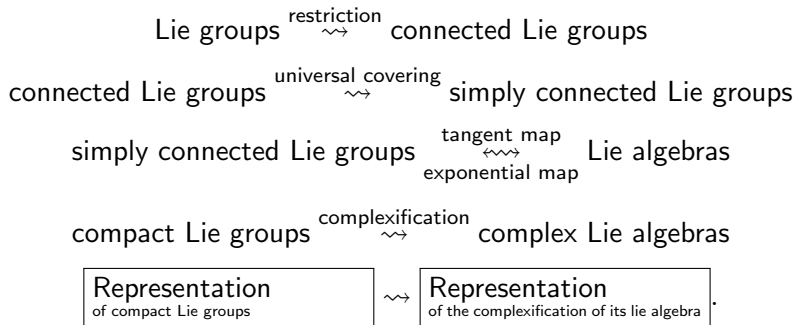
- For a Lie algebra  $\mathfrak{g}$ , a **complex representation** is a Lie algebra homomorphism

$$\mathfrak{g} \rightarrow \mathfrak{gl}(V), \quad V \text{ is some finite dimensional complex vector space.}$$

Equivalently, for  $X, Y \in \mathfrak{g}$ ,

$$X \cdot (Y \cdot v) - Y \cdot (X \cdot v) = [X, Y] \cdot v.$$

# Summary



# Reductive and Semisimple Lie algebras

- We call a lie algebra  $\mathfrak{g}$  to be **nilpotent**, if the series

$$\mathfrak{g}^1 = \mathfrak{g}, \quad \mathfrak{g}^n = [\mathfrak{g}^{n-1}, \mathfrak{g}].$$

is zero for some  $n$ .

- We call a Lie algebra  $\mathfrak{g}$  to be **reductive**, if it contains no nonzero **nilpotent ideal**.
- We call a Lie algebra  $\mathfrak{g}$  to be **semisimple**, if it contains no nonzero commutative ideal (i.e.  $[\cdot, \cdot] = 0$ ), equivalently no solvable ideal.

## Theorem

*For a complex reductive lie algebra  $\mathfrak{g}$ , it is product of a semisimple lie algebra and a commutative lie algebra.*

## Reductive and Semisimple Lie algebras

- We call an analytic lie group  $G$  to be **unipotent**, if it consists only unipotent element due to Jordan decomposition.
- We call a Lie group  $G$  to be **reductive**, if it contains no nontrivial unipotent normal subgroup.
- We call a Lie group  $G$  to be **semisimple**, if it contains no nontrivial commutative normal subgroup, equivalently no solvable normal subgroup.

### Theorem

For an analytic lie group  $G$ ,

$$\begin{aligned}
 G \text{ is reductive} &\implies \text{Lie}(G) \text{ is reductive,} \\
 G \text{ is semisimple} &\iff \text{Lie}(G) \text{ is semisimple.}
 \end{aligned}$$



# Reductive and Semisimple Lie algebras

## Theorem

*Let  $G$  be a compact group, its complexification is reductive.*

## Theorem

*Let  $G$  be a complex analytic group*

*$G$  is reductive  
by our philosophy.  
There is no nontrivial unipotent normal subgroup.*



*$G$  is reductive  
by our dream.  
All  $G$ -representations are semisimple.*

# Reductive and Semisimple Lie algebras

## Theorem (Weyl's theorem)

Let  $\mathfrak{g}$  be a complex lie algebra

$\mathfrak{g}$  is semisimple  
by our philosophy.  
There is no nonzero commu-  
tative ideal.



$\mathfrak{g}$  is semisimple  
by our dream.  
All  $\mathfrak{g}$ -representations are  
semisimple.

- We say a lie algebra is **simple** if it is not commutative and has no nonzero ideal.

## Theorem

For a complex semisimple lie algebra  $\mathfrak{g}$ ,  $\mathfrak{g}$  is a direct product of simple lie algebras.

# The classification of simple lie algebras

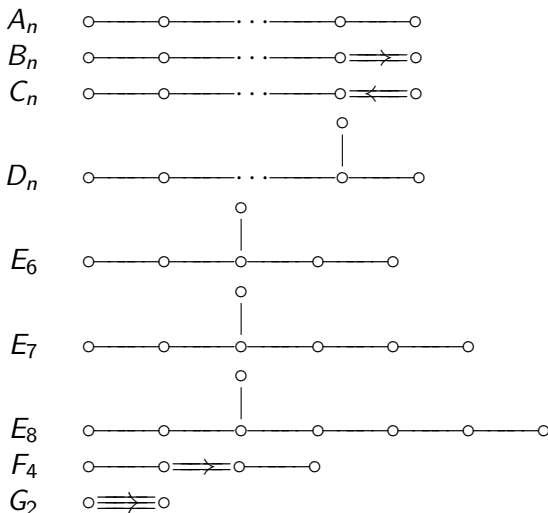
## Theorem (Classification of simple lie algebras)

*The simple lie algebras are classified.*

- Type  $A_n$ :  $\mathfrak{sl}_{n+1}$  for  $n \geq 1$ .
- Type  $B_n$ :  $\mathfrak{so}_{2n+1}$  for  $n \geq 1$ .
- Type  $C_n$ :  $\mathfrak{sp}_n$  for  $n \geq 1$ .
- Type  $D_n$ :  $\mathfrak{so}_{2n}$  for  $n \geq 1$ .
- Finite many exceptional types,  $E_6, E_7, E_8, F_4, G_2$ , say  $\mathfrak{e}_6, \mathfrak{e}_7, \mathfrak{e}_8, \mathfrak{f}_4, \mathfrak{g}_2$ .

- They are one-to-one correspondent to the Dynkin diagram next page.
- Being isomorphic if and only if the diagram is the same.
- **To understand the reason is one of the main purpose in our later lectures.**

# The classification of simple lie algebras



# Realization

## Theorem (Lie)

*Every abstract (complex) Lie algebra can be realized as a Lie algebra of some (complex analytic) Lie groups.*

## Theorem (Chevalley)

*The category of complex reductive analytic lie groups is equivalent to the category of compact lie groups.*

## Theorem

*Let  $G$  be a Lie group, then*

$$\{\text{connected Lie subgroup } H \subseteq G\} \leftrightarrow \{\text{subalgebra } \mathfrak{h} \subseteq \text{Lie}(G)\}.$$

*Where Lie subgroup  $H$  means a subgroup  $H$  such that  $\text{Lie}(H) \rightarrow \text{Lie}(G)$  is injective.*

# The classification of compact lie groups

## Theorem

*For a compact Lie group  $G$ , its universal covering  $\tilde{G}$  is a product of  $\mathbb{R}$  and a compact group whose Lie algebra is semisimple.*

- If  $\tilde{G} \rightarrow G$  is a covering of Lie groups, then

$$\text{Lie}(\tilde{G}) = \text{Lie}(G).$$

and the kernel is a central discrete subgroup.

# The classification of compact lie groups

## Theorem (Classification of semisimple compact lie groups)

*The compact group whose Lie algebra is simple is classified.*

- *Type  $A_n$ :  $SU(n+1)$ ,  $PSU(n+1)$  for  $n \geq 1$ .*
- *Type  $B_n$ :  $Spin_{2n+1}$ ,  $SO_{2n+1}$  for  $n \geq 1$ .*
- *Type  $C_n$ :  $Sp_n$ ,  $PSp_n$  for  $n \geq 1$ .*
- *Type  $D_n$ :  $Spin_{2n}$ ,  $SO_{2n}$ ,  $PSO_{2n}$  for  $n \geq 1$ . and when  $n \mid 2$ , there is another  $HSpin_{2n}$ .*
- *Finite many exceptional groups,  $E_6, E_7, E_8, F_4, G_2$ , say  $E_6, E_6^{ad}, E_7, E_7^{ad}, E_8, F_4, G_2$ .*

## References for lie groups and lie algebras

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# Thanks